



# NOTIFICATION OF STATUS AS REGULATED INTERMEDIARY

## WHO SHOULD COMPLETE THIS FORM?

This form must be completed by Regulated Intermediaries (e.g. LISPs, Funds of Funds and Collective Investment Schemes) to ensure their status is correctly recorded for Dividend Withholding Tax in terms of section 64D of the Income Tax Act (No. 58 of 1962) (the Act).

## IMPORTANT INFORMATION

1. Completed forms can be scanned and emailed to [comanco@oldmutual.com](mailto:comanco@oldmutual.com) or faxed to 0860 103 183.
2. Duly completed forms that reach us by 15h00 will be processed on the same business day.

Unit trust number

## FULL NAME(S) OF INSTITUTIONAL INVESTOR IN WHOSE NAME THE INVESTMENT IS HELD

Company/entity/registered name (if applicable)

Full name of authorised representative

South African income tax number

Company registration number

Founding/registration/inception date

Country of tax residence

## PROVIDE APPLICABLE CONTACT INFORMATION

Tel. (Code)    (Home)       (Code)   (Work)

Fax

Language preference: Eng  Afr  Preferred method of communication: Email  Postal

Email address

Postal address

Physical address

Postcode

Postcode

## PLEASE INDICATE THE REASON WHY THE INSTITUTIONAL INVESTOR QUALIFIES AS A REGULATED INTERMEDIARY:

- (a) Central security depository participant contemplated in terms of section 34 of the Securities Services Act No. 36 of 2004.
- (b) Authorised user as defined in section 1 of the Securities Services Act.
- (c) Approved nominee contemplated in section 36(2) of the Securities Services Act.
- (d) Nominee that holds investments on behalf of clients as contemplated in section 9.1 of Chapter 1 and section 8 of Chapter II of the Codes of Conduct for Administrative and Discretionary Financial Service Providers, 2003 (Board Notice 79 of 2003) published in Government Gazette No. 25299 of 8 August 2003.
- (e) Portfolio of a collective investment scheme in securities.
- (f) Transfer secretary that is a person other than a natural person and that has been approved by the Commissioner subject to such conditions and requirements as may be determined by the Commissioner.

Signature

Date

Capacity of Signatory:   
(Duly authorised to do so)

## STATUTORY INFORMATION

Collective Investment Schemes in Securities (unit trusts) are generally medium- to long-term investments. Past performance is no indication of future growth. It is important that you are prepared for some shorter-term fluctuations as your investment moves in line with the markets. Fluctuations or movements in exchange rates may cause the value of underlying international investments to go up or down. Unit trusts may engage in scrip lending and may borrow up to 10% of the market value of the portfolio to bridge insufficient liquidity. An upfront charge for broker commission (0%-3.42% of the investment amount) is deducted prior to the purchase of units. An annual service fee is calculated on the market value of the fund's assets (excluding income and permissible deductions) and deducted from the portfolio on a monthly basis. Unit trust prices are calculated on a net asset value (NAV) basis, which is the total market value of all assets in the portfolio including any income accrual and less any permissible deductions from the portfolio, divided by the number of units in issue. Permissible deductions may include compulsory and bank charges, brokerage, marketable securities tax, other taxes and levies, custodian and trustees' fees and audit fees. Commission and incentives may be paid by Community Growth Management Company (RF) (Pty) Ltd (COMANCO) and if so, are included in the overall costs. Each fund's total expense ratio (TER) reflects the percentage of the average Net Asset Value of each portfolio that was incurred as charges, levies and fees related to the management of the portfolio. A higher TER ratio does not necessarily imply a poor return, nor does a low TER imply a good return. The current TER cannot be regarded as an indication of future TERs. You can easily sell your investment at the ruling price of the day (calculated at 15h00 on a forward pricing basis). Instructions must reach COMANCO before 15h00 to ensure a same-day value. The Trustee of the funds is: The Standard Bank of South Africa Limited, PO Box 54, Cape Town 8000.



# NOTIFICATION OF STATUS AS REGULATED INTERMEDIARY *continued*

## CONTACT DETAILS

### **Community Growth Management Company (RF) (Pty) Ltd (COMANCO)**

(Registration no. 1992/002327/07)

Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa

PO Box 344, Mutualpark 7451, South Africa

Telephone no.: 0860 103 180

Fax no.: 0860 103 183

Website address: [www.comanco.co.za](http://www.comanco.co.za)

Email: [comanco@oldmutual.com](mailto:comanco@oldmutual.com)

Complaints: Write to our Client Services Manager at the above address or for further information call 0860 103 180.

Compliance Officer: +27(0)21 509 2570

### **Old Mutual Investment Administrators (Pty) Ltd**

(Registration no. 1988/003478/07)

Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa

PO Box 5408, Cape Town 8000, South Africa

Telephone no.: +27(0)21 503 1770

Fax no.: +27(0)21 509 7100

Compliance Department: +27(0)21 503 1770

Professional indemnity cover and fidelity insurance cover are in place.

Old Mutual Investment Administrators (Pty) Ltd is a third party administration and a licensed financial services provider (FSP number: 542).